

# APPLEBY PARISH NEIGHBOURHOOD PLAN

Strategic Environmental  
Assessment Screening Opinion  
and  
Habitats Regulations  
Assessment  
Screening Report

May 2017

**Undertaken By North Lincolnshire Council Spatial Planning Team,  
Civic Centre, Scunthorpe, North Lincolnshire, DN16 1AB**



# Contents

1 NON-TECHNICAL SUMMARY.....	5
<i>Summary of the Screening Opinion for the Appleby Parish Neighbourhood Plan</i> .....	6
<i>Appleby Parish Neighbourhood Plan Area</i> .....	7
2 INTRODUCTION.....	8
<i>The 'Screening' Process</i> .....	8
<i>Significant environmental effects of a draft neighbourhood plan</i> .....	9
3 BACKGROUND.....	10
<i>Summary of the Appleby Parish Neighbourhood Plan issues and themes</i> .....	10
4 ASSESSMENT.....	12
<i>Statutory Consultees</i> .....	12
<i>Environment Agency</i> .....	12
<i>Historic England</i> .....	12
<i>Natural England</i> .....	12
<i>Responses to Consultation Comments Received for the Screening Opinion of the Appleby Parish Neighbourhood Plan</i> .....	13
<i>Assessment 1: Establishing the Need for a Strategic Environmental Assessment of the Appleby Parish Neighbourhood Plan</i> .....	14
<i>Assessment 2: Likely Significant Effects on the Environment of the Appleby Parish Neighbourhood Plan</i> .....	16
5 Habitats Regulations Assessment (HRA) Screening Opinion for the Appleby Parish Neighbourhood Plan.....	18
<i>Introduction</i> .....	18
<i>Legislative Basis</i> .....	18
<i>Assessment</i> .....	19
6 Conclusions.....	20
<i>Conclusions and Recommendations of the Screening Assessments</i> .....	20

7 Appendices.....	22
Appendix 1 - Key steps of the Neighbourhood Plan SEA Screening Process:.....	23
Appendix 2 - Strategic Environmental Assessment process:.....	24
Appendix 3 - Government guidance 'A practical guide to the Strategic Environmental Assessment Directive' sets out the following approach to be taken in determining whether SEA is required:.....	25
Appendix 4 - Consultation Comments Received from Statutory Bodies:.....	26
Environment Agency:.....	26
Historic England:.....	28
Natural England:.....	29

**NOTE TO QUALIFYING BODY:**

**This document should be read in conjunction with 'Strategic Environmental Assessment - Background Information for Qualifying Bodies' (North Lincolnshire Council, September 2016)**

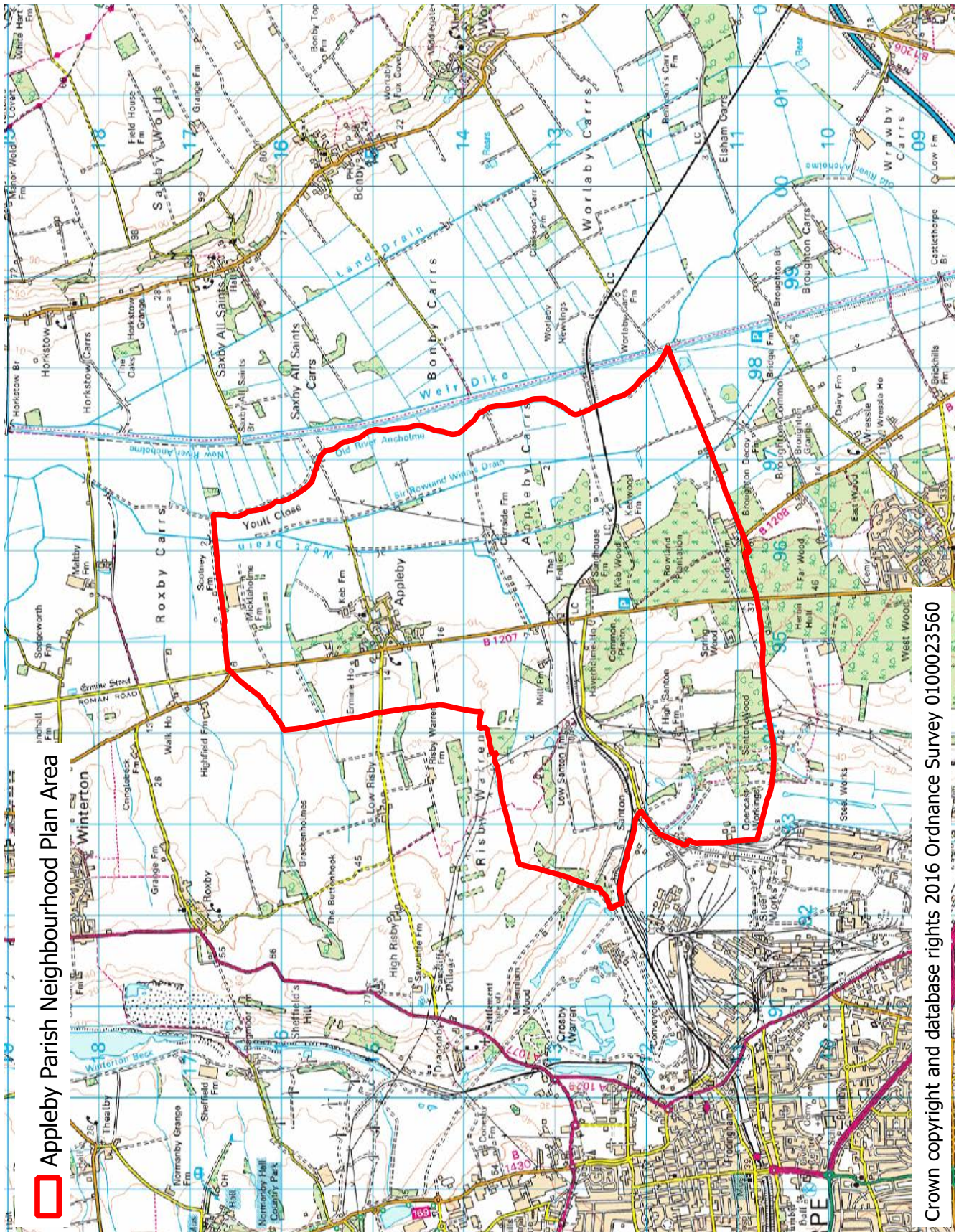
# 1 NON-TECHNICAL SUMMARY

- 1.1 A Strategic Environmental Assessment (SEA) is required under European legislation for all plans which may have a **significant** effect on the environment. This particularly relates to plans which designate sites for development such as a Local Plan or Neighbourhood Plans produced by a town or parish council.
- 1.2 The purpose of SEA is to provide a high level of protection of the environment and to integrate considerations of the environment into the preparation and adoption of plans with a view to promoting sustainable development.
- 1.3 The SEA process sets out criteria for assessing the significance of the impact of a plan on the environment. If a significant effect is possible, the assessment requires the consideration of options and for the evaluation of the potential effects on the environment.
- 1.4 To ascertain if SEA is required, a “screening” exercise is undertaken which looks at the proposals in a Neighbourhood Plan to see if a **significant** effect is likely. The criteria for doing this are set out in the relevant legislation.
- 1.5 A Habitats Regulations Assessment (HRA) is a process which looks at the potential impact of proposals within a plan on internationally designated wildlife sites. For the purpose of the HRA, internationally designated wildlife sites are Special Protection Areas (SPAs), Special Areas of Conservation (SACs) and Ramsar wetland sites.
- 1.6 This report details the assessment of the Appleby Parish Neighbourhood Plan against the need for an SEA or HRA to be produced to accompany the Plan. The three statutory consultees have been consulted to invite their views on its contents: Environment Agency; Historic England; and Natural England. The results of the consultation have been included in the report and a formal screening opinion will be issued to Appleby Parish Council indicating the outcomes of the screening stage.
- 1.7 The result of this initial screening is that an Environmental Report covering the requirements of the SEA Directive IS REQUIRED to accompany the Appleby Parish Neighbourhood Plan, and that an Habitats Regulations Assessment is NOT REQUIRED.
- 1.8 Any changes to the amount or degree of development being proposed should be subject to further screening to ensure that increased significant effects are not likely.

## Summary of the Screening Opinion for the Appleby Parish Neighbourhood Plan

<b>Local Authority Details</b>	
<b>Name and job title of officer undertaking screening opinion</b>	<i>Dave Lofts, Senior Planning Officer, North Lincolnshire Council</i>
<b>Date of initial assessment</b>	<i>20/02/17</i>
<b>Conclusion of assessment</b>	<ul style="list-style-type: none"> <li><i>i that an Environmental Report covering the requirements of the SEA Directive IS REQUIRED; and</i></li> <li><i>ii (That a Habitats Regulations Assessment IS NOT REQUIRED.</i></li> </ul>
<b>Reason for conclusion</b>	<ul style="list-style-type: none"> <li><i>i The Screening Opinion Assessments demonstrate that SEA is necessary;</i></li> <li><i>ii Significant environmental effects with regards to impacts on the Risby Warren SSSI and Broughton Wood SSSI cannot be ruled out;</i></li> <li><i>iii To provide evidence and justification that the Appleby Parish Neighbourhood Plan has been prepared with sustainability at the forefront of its preparation, a key consideration in the National Planning Policy Framework; and</i></li> <li><i>iv Proposals within the Plan will not adversely affect any internationally designated wildlife sites.</i></li> </ul>
<b>Name and job title of officer approving screening opinion</b>	<i>Chris Barwell, Spatial Planning Manager, North Lincolnshire Council</i>
<b>Date of approval</b>	<i>05/05/17</i>

# Appleby Parish Neighbourhood Plan Area



Crown copyright and database rights 2016 Ordnance Survey 0100023560

# 2 INTRODUCTION

## The 'Screening' Process

- 2.1 A Strategic Environmental Assessment (SEA) is required under European legislation for all plans which may have a **significant** effect on the environment. This particularly relates to plans which designate sites for development such as a Local Plan or Neighbourhood Plans produced by a town or parish council.
- 2.2 The SEA process sets out criteria for assessing the significance of the impact of a plan on the environment. For example, housing development proposed in a plan could have an impact on the wildlife of the area or have an impact on landscape. If a significant effect is possible, the assessment requires the consideration of options and for the evaluation of the potential effects on the environment.
- 2.3 This screening report determines whether or not the Appleby Parish Neighbourhood Plan requires a Strategic Environmental Assessment (SEA) in accordance with the European Directive 2001/42/EC and associated Environmental Assessment of Plans and Programmes Regulations 2004. These require an SEA to be undertaken on any land use plan or programme which 'sets the framework for future development consent of projects'.
- 2.4 The key to the screening decision is the determination of whether the Appleby Parish Neighbourhood Plan is likely to have **significant** environmental effects, using the criteria set out in Annex II of the Directive and Schedule 1 of the 2004 Regulations. Consultation responses from the statutory bodies have also been considered and incorporated into the report.
- 2.5 Whilst this document specifically assesses the environmental implications of the Appleby Parish Neighbourhood Plan, reference should also be made to the generic document 'Strategic Environmental Assessment Background Information for Qualifying Bodies' which explains the background to Strategic Environmental Assessment for town and parish councils or neighbourhood forums. The diagram in Appendix 1 outlines the key steps that have been followed during the SEA Screening Process of the Appleby Parish Neighbourhood Plan.
- 2.6 Paragraph 167 of the National Planning Policy Framework advises that assessments should be proportionate and should not repeat policy assessments that have already taken place. Both the North Lincolnshire Core Strategy DPD (June 2011) and the North Lincolnshire Housing & Employment Land Allocations DPD (March 2016) were subject to extensive Sustainability Appraisals incorporating Strategic Environmental Assessments.



- 2.7 Habitats Regulations Assessment (HRA) is a process which looks at the potential impact of proposals within a plan on internationally designated wildlife sites. For the purpose of the HRA, internationally designated wildlife sites are Special Protection Areas (SPAs), Special Areas of Conservation (SACs) and Ramsar wetland sites. The initial stage of the HRA process involves consideration of the reasons for designation and the conservation objectives of each internationally designated wildlife site within a reasonable distance of the Neighbourhood Plan Area and the potential impact of the proposals within the Plan on these.

## Significant environmental effects of a draft neighbourhood plan

- 2.8 The process of carrying out an SEA of the Appleby Parish Neighbourhood Plan will form part of the evidence base for the Plan and can be an important tool in the plan making process. There are four main themes:
- social progress which recognises the needs of everyone;
  - effective protection of the environment;
  - prudent use of natural resources; and
  - maintenance of high and stable levels of economic growth and employment.

# 3 BACKGROUND

- 3.1 National Planning Practice Guidance advises that a Neighbourhood Development Plan should be screened early. Although policies that will shape the future of the parish are still evolving and developing, the Appleby Parish Neighbourhood Plan has been environmentally screened based on the following issues and themes that have developed from extensive public consultation. These in turn evolved from a Vision for the parish that also came out of that consultation process and was further developed by the Steering Group. A future round of public consultation (scheduled for spring 2017) will help the Plan to move forward with policies that will be based on community engagement.

## Summary of the Appleby Parish Neighbourhood Plan issues and themes

- 3.2 Following consultation exercises, these land use planning issues are to be addressed by the Neighbourhood Plan and could be written into planning policies to guide development in the parish:

### *Housing*

*Limited infill housing is expected to take place within the development boundary, and during the life of this plan it is envisaged that approximately 6-10 affordable houses are to be built in the parish to meet the needs of the local population and waiting lists (including sites identified outside but adjacent to development boundaries). The design of new housing is required to meet the standards set by the revised Village Design Statement, and green spaces will be incorporated into the design of the new housing sites.*

### *Employment*

*There are no large or medium sized employers within the Parish. Most local employment is set around agriculture, services and a number of small businesses. As the main employment is based outside the parish it is expected that working from home will increase with the associated demand for high speed internet communication. Local employment should be encouraged in the form of small business start-ups.*

### *Services and Facilities*

*The parish has no services or facilities other than the Village Hall, Appleby Village playing field with its adult excise equipment, a mixed age group hard standing training area, a well fitted children's playground, and a Church. Residents have expressed wishes for some kind of village or farm shop, a cafe or pub as well as a part time surgery. The plan will consider these possibilities as well as the request for a small children's play area at Santon.*

### *Traffic and Transport*

*Any new development should be served by the provision of adequate off-road parking within the communities. Footpaths should provide safe access throughout the Parish and provide access to the countryside, and any new development should not exacerbate existing traffic issues.*

### *Environment and Climate*

*Appleby parish is surrounded by large tracts of open farmland as well as majestic woodlands and to the West by historic iron ore deposits. Development that would have an adverse visual impact should not be approved. Local Green Spaces should be protected and enhanced, while new development will be expected to maintain and enhance existing ecological corridors and landscape features for diversity of plant and animal life. New development will be expected to incorporate energy conservation features to counter the effects of climate change.*

### *Appleby Parish Design Statement*

*The object of the statement is to describe the character of the parish as it is today and to highlight the qualities that the residents value. From these qualities the objective is to set design principles with associated guidelines based on the distinctive local character of the parish. Whilst integral and very much a part of the parish plan the design statement is written in such a way so it can be used as a stand-alone document for developers, architects, builders, etc.*

# 4 ASSESSMENT

## Statutory Consultees

4.1 The initial Screening Opinion was sent to the three statutory consultees (Environment Agency, Historic England, and Natural England) on 28 February 2017 to invite their views on its contents. The results of the consultation form part of the final assessment, and a formal screening opinion will be issued to Appleby Parish Council indicating the outcomes of the screening stage and including the consultation comments and North Lincolnshire Council's responses to those consultation comments.

4.2 A summary of the consultations comments is as follows:

### *Environment Agency*

- We believe that the Appleby Parish Neighbourhood Plan is not likely to have significant environmental effects.
- Please note that we have considered only those environmental matters within our remit.

### *Historic England*

- On the basis of the information supplied, and in the context of the criteria set out in Schedule 1 of the Environmental Assessment Regulations [Annex II of 'SEA' Directive], Historic England is of the view that the preparation of a Strategic Environmental Assessment is not likely to be required.
- Should matters change as the Plan progresses we would be pleased to be kept informed.
- We are also aware that the new North Lincolnshire Local Plan is at its very early stages.
- The views of the other statutory consultation bodies should be taken into account before the overall decision on the need for an SEA is made.
- If a decision is made to undertake an SEA, Historic England has published guidance on Sustainability Appraisal / Strategic Environmental Assessment and the Historic Environment that is relevant to both local and neighbourhood planning.
- Should it be concluded that, overall, a SEA will be required for the Plan, Historic England would be pleased to discuss the scope of the assessment in relation to the historic environment in due course.

### *Natural England*

- The plan area lies adjacent to both Risby Warren Site of Special Scientific Interest (SSSI) and Broughton Wood SSSI and appears to include small areas of these nationally designated sites and that this has not been considered in the screening report.

- At this stage and based on the information provided Natural England does not consider that we can rule out significant environmental effects with regards to impacts on the Risby Warren SSSI and Broughton Wood SSSI.
- We would be concerned if the plan included development proposals on or in close proximity to these designated sites which may affect the features for which the sites were designated.
- Based on the information available regarding what the plan will involve and considering the proximity to European designated sites we conclude that an Habitats Regulations Assessment is not required.

## Responses to Consultation Comments Received for the Screening Opinion of the Appleby Parish Neighbourhood Plan

Statutory Consultee	Response to Comments
Environment Agency consulted on 28/02/2017, replied on 08/03/2017	<ul style="list-style-type: none"> <li>• It is noted that the Plan is not likely to have significant environmental effects.</li> <li>• It is noted that only those environmental matters within the remit of the Environment Agency have been considered.</li> </ul>
Historic England consulted on 28/02/2017, replied on 22/03/2017	<ul style="list-style-type: none"> <li>• It is noted that the preparation of a Strategic Environmental Assessment is not likely to be required.</li> <li>• Historic England will be kept informed if matters change as the Plan progresses.</li> <li>• Should it be concluded that, overall, a SEA will be required for the Plan, Historic England would be pleased to discuss the scope of the assessment in relation to the historic environment in due course.</li> </ul>
Natural England consulted on 28/02/2017, replied on 11/04/2017	<ul style="list-style-type: none"> <li>• Reference added to this Report that the plan area lies adjacent to both Risby Warren Site of Special Scientific Interest (SSSI) and Broughton Wood SSSI and appears to include small areas of these nationally designated sites.</li> <li>• Screening Report amended to conclude that SEA is required because significant environmental effects with regards to impacts on the Risby Warren SSSI and Broughton Wood SSSI cannot be ruled out.</li> <li>• Reference has been made in this Report that, based on the information available regarding what the plan will involve and considering the proximity to European designated sites, an Habitats Regulations Assessment is not required.</li> </ul>

## Assessment 1: Establishing the Need for a Strategic Environmental Assessment of the Appleby Parish Neighbourhood Plan

Stage	Y/N	Reason
1. Is the Neighbourhood Plan (NP) subject to preparation and/or adoption by a national, regional or local authority, OR prepared by an authority for adoption through a legislative procedure by Parliament or Government? (Art. 2(a)).	Yes	The preparation of neighbourhood plans is subject to the following regulations: The Neighbourhood Planning (General) Regulations 2012 and The Neighbourhood Planning (Referendums) Regulations 2012. The preparation and adoption of the NP is allowed under the Town and Country Planning Act 1990 (as amended by the Localism Act 2011). The NP will be prepared by Appleby Parish Council as the 'qualifying body' and will be 'made' by North Lincolnshire Council as the local authority. GO TO STAGE 2
2. Is the NP required by legislative, regulatory or administrative provisions? (Art. 2(a))	No	Whilst the NP is not a requirement and is optional under the provisions of the Town and Country Planning Act 1990 as amended by the Localism Act 2011 it will, if 'made', form part of the Development Plan for North Lincolnshire. It is, therefore, important that the screening process considers whether it is likely to have significant environmental effects and hence whether SEA is required under the Directive. GO TO STAGE 3
3. Is the NP prepared for agriculture, forestry, fisheries, energy, industry, transport, waste management, telecommunications, tourism, town and country planning or land use, AND does it set a framework for future development consent of projects in Annexes I and II to the EIA Directive? (Art. 3.2(a))	Yes	The NP is being prepared for town and country planning and land use and is likely to propose minimal development (e.g. housing or employment sites). Developments which fall under Annex I of the EIA Directive are "excluded" as set out in Section 61k of the Town and Country Planning Act 1990 (as amended by the Localism Act). GO TO STAGE 5
4. Will the NP, in view of its likely effect on sites, require an assessment for future development under Article 6 or 7 of the Habitats Directive? (Art. 3.2(b))		NOT APPLICABLE
5. Does the NP determine the use of small areas at local level OR is it a minor modification of a plan or programme subject to Art. 3.2? (Art. 3.3)	Yes	The NP is expected to propose minimal new development at a local level. GO TO STAGE 8

Stage	Y/N	Reason
6. Does the NP set the framework for future development consent of projects (not just projects in annexes to the EIA Directive)? (Art. 3.4)	Yes	The Neighbourhood Plan will be used to determine future planning applications.
7. Is the NP's sole purpose to serve the national defence or civil emergency, OR is it a financial or budget plan or programme, OR is it co-financed by structural funds or EAGGF programmes 2000 to 2006/7? (Art. 3.8, 3.9)	No	A NP does not deal with any of these categories of plan.
8. Is it likely to have a significant effect on the environment? (Art 3.5)	No	See Assessment 2: Likely significant effects on the environment.

## Assessment 2: Likely Significant Effects on the Environment of the Appleby Parish Neighbourhood Plan

### Criteria for determining the likely significance of effects on the environment (SEA Screening) of the Appleby Parish Neighbourhood Plan (from Article 3.5 of the SEA Directive):

Significant effect criteria	Assessment
-----------------------------	------------

**Characteristics of the plans, having regard to:**

The degree to which the Plan sets a framework for projects and other activities, either with regard to the location, nature, size and operating conditions or by allocating resources.	The Appleby Parish Neighbourhood Plan would, if adopted, form part of the Statutory Development Plan and as such contributes to the framework for future development consent of projects. However, the Plan sits within the wider framework set by the National Planning Policy Framework, the adopted North Lincolnshire Core Strategy 2011 and the adopted Housing & Employment Land Allocations DPD 2016 and the projects for which this Plan helps to set a framework are local in nature and have limited resource implications.
--	---

The degree to which the Plan influences other plans and programmes including those in a hierarchy.	The Appleby Parish Neighbourhood Plan will be in conformity with the National Planning Policy Framework as well as North Lincolnshire Council's strategic policies set out in the adopted North Lincolnshire Core Strategy 2011 and the adopted Housing & Employment Land Allocations DPD 2016.
--	---

The relevance of the Plan for the integration of environmental considerations in particular with a view to promoting sustainable development.	<p>Although policies are yet to evolve, the Appleby Parish Neighbourhood Plan contains issues and themes relating to housing, services and facilities, and environment and climate. Any policies will be in conformity with national and local policies as required by the basic conditions. The Neighbourhood Plan will also have to contribute to the achievement of sustainable development to be approved at examination and be "made" by North Lincolnshire Council.</p> <p>Development would also be subject to policies in the adopted North Lincolnshire Core Strategy 2011 and the adopted Housing &amp; Employment Land Allocations DPD 2016, and "saved policies" of the North Lincolnshire Local Plan 2003 and, therefore, all environmental considerations would be covered by policy.</p> <p>The Plan area lies a considerable distance from the closest European Designated Sites and, therefore, the likelihood that the Plan will include proposals that would have a likely significant effect at such a distance is low.</p>
---	---

Environmental problems relevant to the plan or programme; and	<p>The Neighbourhood Plan will include an exercise scoping any local environmental issues so that these can be addressed in the Plan. There are no designated Local Wildlife Sites in the parish and there are no national landscape designations in the parish.</p> <p>There is a concentration of Listed Buildings in the village, mostly located within the Appleby Conservation Area.</p>
---	---

The relevance of the Plan or programme for the implementation of Community legislation on the environment (for e.g. plans and programmes linked to waste management or water protection).	The Appleby Parish Neighbourhood Plan has to be in conformity with the North Lincolnshire Local Development Framework (adopted North Lincolnshire Core Strategy 2011 and the adopted Housing & Employment Land Allocations DPD 2016), and "saved policies" of the North Lincolnshire Local Plan 2003 which have had regard to EC Legislation.
---	---



**Criteria for determining the likely significance of effects on the environment (SEA Screening) of the Appleby Parish Neighbourhood Plan (from Article 3.5 of the SEA Directive):**

<b>Significant effect criteria</b>	<b>Assessment</b>
<b><i>Characteristics of the effects and of the area likely to be affected having regard, in particular, to:</i></b>	
The probability, duration, frequency and reversibility of the effects.	The Neighbourhood Plan is likely to have modest but enduring positive environmental effects. The effects are not likely to be reversible as they relate to minimal development and will be of a local scale.
The cumulative nature of the effects of the Plan.	It is intended that the positive effects will have positive cumulative benefits for the area.
The transboundary nature of the effects.	Development in Appleby is not likely to have an impact on neighbouring authorities or neighbouring parishes.
The risks to human health or the environment (e.g. due to accidents).	The Plan is likely to improve human health by providing a small level of appropriate homes and additional recreational facilities, and by increasing the local and natural built environment. Local green spaces would be protected and enhanced.
The magnitude and spatial extent of the effects (geographical area and size of the population likely to be affected) of the Plan.	The Neighbourhood Plan relates to the Parish of Appleby. The magnitude and spatial extent of the effects are likely to be small.
The value and vulnerability of the area likely to be affected due to: special natural characteristics or cultural heritage; exceeded environmental quality standards or limit values; intensive land-use;	In considering sites for minimal new infill housing development or affordable housing it must be demonstrated that the plan has had regard to the significance of the Appleby Conservation Area and any listed buildings in the vicinity and mitigation should be suggested, where appropriate. It is unlikely that the Plan will have a detrimental effect on natural characteristics or the cultural heritage of the parish.
The effects on areas or landscapes which have a recognised national, Community or international protection status.	There are no areas or landscapes with recognised national, Community or international protection status within or adjacent to the Neighbourhood Plan Area.

# 5 Habitats Regulations Assessment (HRA) Screening Opinion for the Appleby Parish Neighbourhood Plan

## Introduction

- 5.1 North Lincolnshire Council is the 'competent authority' under the Conservation of Habitats and Species Regulations 2010 and needs to ensure that the Neighbourhood Plan has been assessed through the Habitat Regulations process. This looks at the potential for significant impacts on nature conservation sites that are of European importance, also referred to as Natura 2000.
- 5.2 This Screening Assessment relates to a Neighbourhood Development Plan that will be in general conformity with the adopted North Lincolnshire Core Strategy 2011 and the adopted Housing & Employment Land Allocations DPD 2016. As part of the assessment, North Lincolnshire Council will determine whether the Appleby Parish Neighbourhood Plan is likely to result in significant impacts on Natura 2000 sites and therefore whether an 'Appropriate Assessment' is required.

## Legislative Basis

- 5.3 Article 6(3) of the EU Habitats Directive provides that:

*"Any plan or project not directly connected with or necessary to the management of the [European] site but likely to have a significant effect thereon, either individually or in combination with other plans or projects, shall be subject to appropriate assessment of its implications for the site in view of the site's conservation objectives. In the light of the conclusions of the assessment of the implications for the site and subject to the provisions of paragraph 4, the competent national authorities shall agree to the plan or project only after having ascertained that it will not adversely affect the integrity of the site concerned and, if appropriate, after having obtained the opinion of the general public."*

## Assessment

- 5.4 The Habitats Regulations Assessment Stage 1 Screening of the North Lincolnshire Core Strategy Submission Draft concluded that there is the potential for likely significant effects on the Humber Estuary SAC/SPA/Ramsar site and the Thorne Moor SAC, Hatfield Moor SAC and Thorne and Hatfield Moors SPA 'in combination' with other projects and plans (particularly the two Able UK planning applications for areas within the South Humber Bank Strategic Employment site). Following the review of the Core Strategy DPD Submission Draft it was considered that none of the Policies or the Spatial Objectives in the Plan would lead to likely significant effects on the integrity of the Humber Estuary Natura 2000 sites and Ramsar sites or on the Thorne and Hatfield Moors Natura 2000 sites. It is, therefore, considered that the Appleby Parish Neighbourhood Plan is not likely to result in significant impacts on Natura 2000 sites.

# 6 Conclusions

## *Conclusions and Recommendations of the Screening Assessments*

- 6.1 Both the North Lincolnshire Core Strategy and the North Lincolnshire Housing & Employment Land Allocations DPD have been subject to extensive Sustainability Appraisals incorporating Strategic Environmental Assessments. In its present state, very limited infill housing and a low level of affordable housing is proposed over the lifetime of the Appleby Parish Neighbourhood Plan and, therefore, it will not present significant environmental effects.
- 6.2 The plan, therefore, is likely to have minimal effects on the historic environment and on highway capacity in the neighbourhood area and beyond, depending on which sites are allocated for development and how many dwellings are proposed for development. The results of the assessment should then be used to determine which sites are appropriate to be allocated for development.
- 6.3 It is determined that as a result of the screening undertaken by North Lincolnshire Council a Strategic Environmental Assessment IS REQUIRED for the Appleby Parish Neighbourhood Plan.

### **STRATEGIC ENVIRONMENTAL ASSESSMENT SUMMARY:**

**In its present form the Appleby Parish Neighbourhood Plan REQUIRES A FULL SEA to be undertaken.**

- 6.4 This conclusion has been reached based on the following:
- i* The Screening Opinion Assessments demonstrate that SEA is necessary;
  - ii* Significant environmental effects with regards to impacts on the Risby Warren SSSI and Broughton Wood SSSI cannot be ruled out;
  - iii* To provide evidence and justification that the Appleby Parish Neighbourhood Plan has been prepared with sustainability at the forefront of its preparation, a key consideration in the National Planning Policy Framework.
- 6.5 As this Screening opinion concludes that SEA is not required, it will not be necessary to prepare an SEA Scoping Report for the Appleby Parish Neighbourhood Plan in its present form.

- 6.6 This screening opinion was undertaken at a 'snapshot' in time, and if the issues addressed in the Neighbourhood Plan should change (for example, if the development of new and/or larger sites is proposed) then a new screening process will need to be undertaken to determine whether further SEA is required.
- 6.7 The Appleby Parish Neighbourhood Plan is not likely to result in significant impacts on Natura 2000 sites and, therefore, an Appropriate Assessment IS NOT REQUIRED.

**HABITATS REGULATIONS ASSESSMENT SUMMARY:**

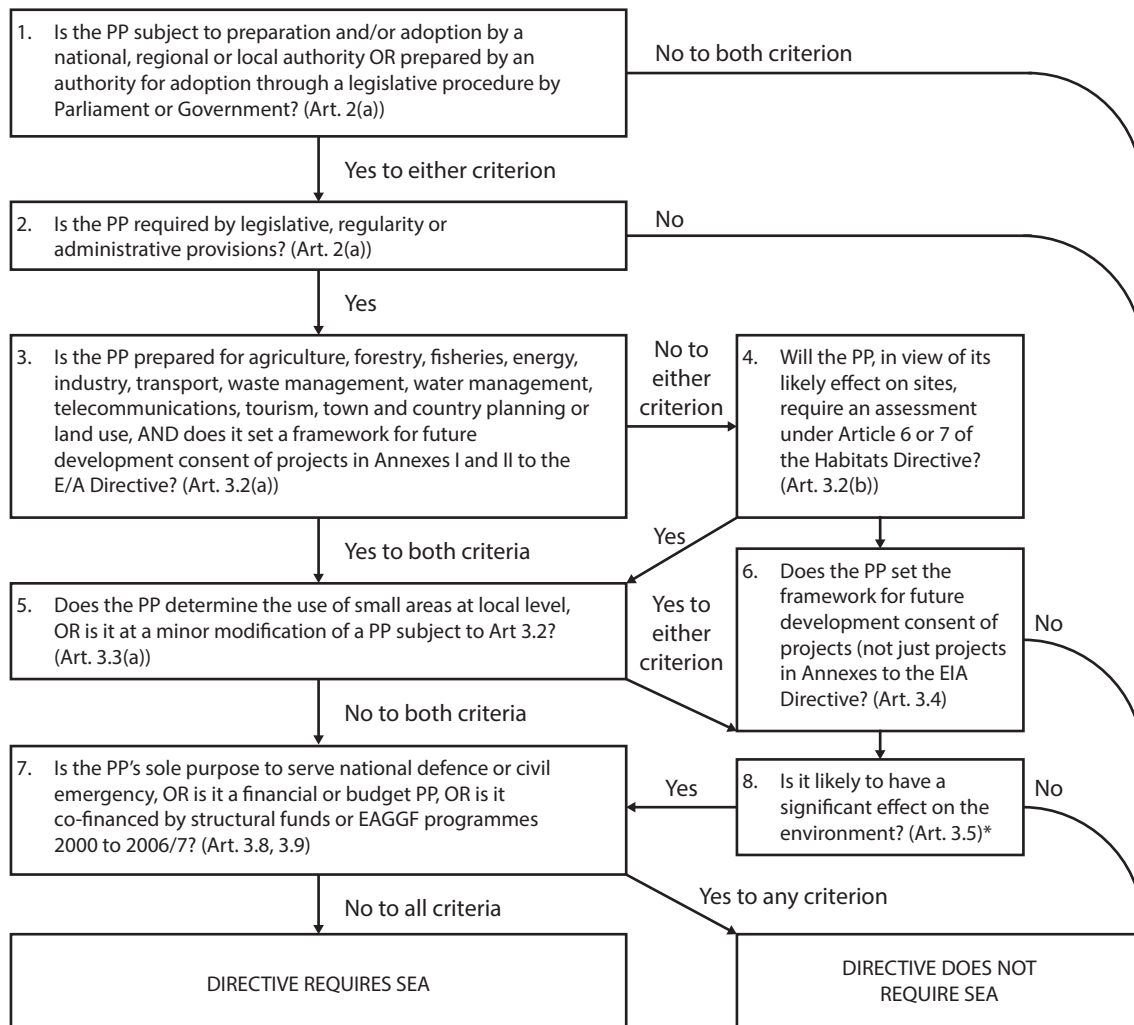
**In its present form the Appleby Parish Neighbourhood Plan DOES NOT REQUIRE AN HABITATS REGULATIONS ASSESSMENT to be undertaken.**

This conclusion has been reached based on the following:

- i* Proposals within the Plan will not adversely affect any internationally designated wildlife sites.
- 6.8 There are no Special Areas of Conservation in or adjacent to the Appleby Parish Neighbourhood Plan Area and, due to the location of the parish and the local nature of proposals in the Plan, it will not impact on internationally designated wildlife sites.

# 7 Appendices

## Appendix 1 - Key steps of the Neighbourhood Plan SEA Screening Process:

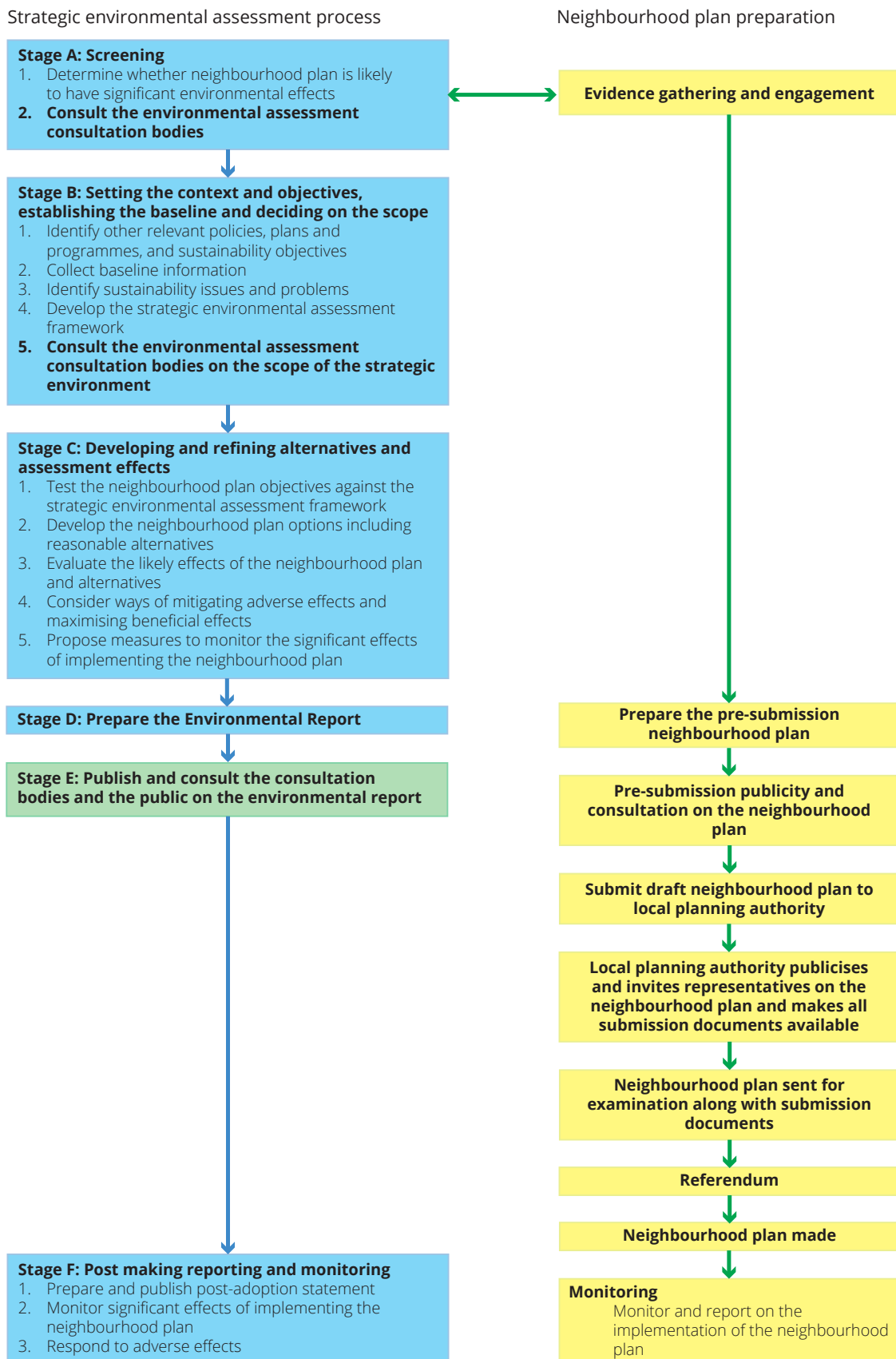


\* The Directive requires Member States to determine whether plans or programmes in this category are likely to have significant environmental effects. These determinations may be made on a case by case basis and/or by specifying types of plan or programme.

### NOTE:

**This diagram is intended as a guide to the criteria for application of the Directive to plans and programmes (PPs). It has no legal status.**

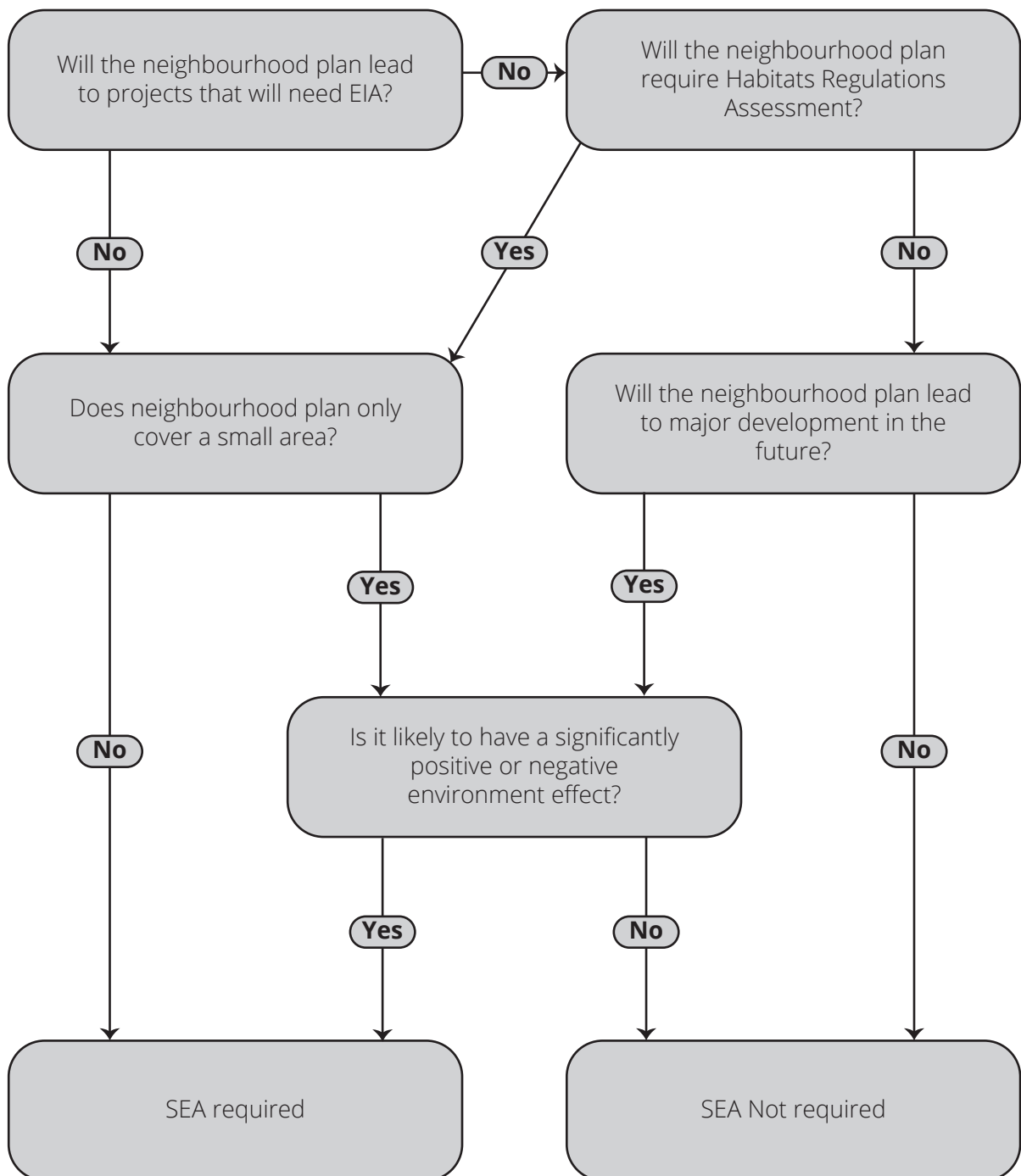
## Appendix 2 - Strategic Environmental Assessment process:





Appendix 3 - Government guidance 'A practical guide to the Strategic Environmental Assessment Directive' sets out the following approach to be taken in determining whether SEA is required:

DIAGRAM 1: KEY STEPS OF THE NEIGHBOURHOOD PLAN SEA SCREENING PROCESS



## Appendix 4 - Consultation Comments Received from Statutory Bodies:

## Environment Agency:

To: Dave Lofts

Subject: RE: Appleby Parish Neighbourhood Plan Strategic Environmental Assessment Screening Report

From: Planning Liaison, Anglian/Northern <planninglincoln@environment-agency.gov.uk>

Sent: Wed 08/03/2017 14:35

Dave,

We believe that the Appleby Parish Neighbourhood Plan is not likely to have significant environmental effects: please note that we have considered only those environmental matters within our remit.

Kind regards

Nicola Farr  
Sustainable Places- Planning Advisor  
Environment Agency  
Waterside House, Waterside North, Lincoln, LN2 5HA  
0203 02 55023 (Please note new phone number)  
55023 (internal)  
nicola.farr@environment-agency.gov.uk

## Historic England:

Mr Dave Lofts  
North Lincolnshire Council  
Civic Centre  
Ashby Road  
Scunthorpe  
North Lincolnshire  
DN16 1AB

Direct Dial: 01604 735460  
Our ref: PL00069023  
22 March 2017

Dear Mr Lofts

RE: APPLEBY PARISH NEIGHBOURHOOD PLAN- SCREENING OPINION REQUEST

Thank you for your consultation of 28 February 2017 and the request for a Screening Opinion.

For the purposes of consultations on SEA Screening Opinions, Historic England confines its advice to the question, "Is it likely to have a significant effect on the environment?" in respect of our area of concern, cultural heritage. Our comments are based on the information supplied with the screening request only since a draft NP is not available at this stage.

On the basis of the information supplied, and in the context of the criteria set out in Schedule 1 of the Environmental Assessment Regulations [Annex II of 'SEA' Directive], Historic England is of the view that the preparation of a Strategic Environmental Assessment is not likely to be required. Should matters change as the Plan progresses we would be pleased to be kept informed. We are also aware that the new North Lincolnshire Local Plan is at its very early stages.

In respect of the NP progressing, the Plan group may find some of the Historic England Advice Notes and Good Practice information of use and interest. These documents can be accessed via the Planning section of the main HE website:

<<https://historicengland.org.uk/advice/planning/>>

The views of the other statutory consultation bodies should be taken into account before the overall decision on the need for a SEA is made. If a decision is made to undertake a SEA, please note that Historic England has published guidance on Sustainability Appraisal / Strategic Environmental Assessment and the Historic Environment that is relevant to both local and neighbourhood planning and available at:

<<https://historicengland.org.uk/images-books/publications/sustainability-appraisal-and-strategic-environmental-assessment-advice-note-8/>>

Should it be concluded that, overall, a SEA will be required for the Plan, Historic England would be pleased to discuss the scope of the assessment in relation to the historic environment in due course.

I hope that this information is of use to you at this time. Should you have any queries, please do not hesitate to contact me.



Rosamund Worrall  
Historic Environment Planning Adviser  
Rosamund.worrall@historicengland.org.uk

## Natural England:

RE: Appleby Neighbourhood Plan Strategic Environmental Assessment Screening Report (No subject)

Ash, Merlin (NE) <Merlin.Ash@naturalengland.org.uk>

Tue 11/04/2017 16:11

To: Dave Lofts

Dear Dave,

Many apologies for the delay in getting back to you and any inconvenience this may have caused.

Strategic Environmental Assessment Screening

Natural England notes that the plan area lies adjacent to both Risby Warren Site of Special Scientific Interest (SSSI) and Broughton Wood SSSI and appears to include small areas of these nationally designated sites and that this has not been considered in the screening report.

At this stage and based on the information provided Natural England do not consider that we can rule out significant environmental effects with regards to impacts on the Risby Warren SSSI and Broughton Wood SSSI. We would be concerned if the plan included development proposals on or in close proximity to these designated sites which may affect the features for which the sites were designated. For more information regarding Risby Warren SSSI and Broughton Wood SSSI please see our online designated sites database at:

<https://designatedsites.naturalengland.org.uk/>

Habitats Regulations Assessment Screening

As there is only limited details of the plan at this stage it is difficult for Natural England to give definitive advice, however based on the information available regarding what the plan will involve and considering the proximity to European designated sites we agree with the conclusions of the report with regards to the Habitats Regulations.

For any queries relating to the specific advice in this letter please contact Merlin Ash at [merlin.ash@naturalengland.org.uk](mailto:merlin.ash@naturalengland.org.uk) or on 02080 266382. For any new consultations, or to provide further information on this consultation please send your correspondences to [consultations@naturalengland.org.uk](mailto:consultations@naturalengland.org.uk).

Yours sincerely,

Merlin Ash  
Lead Adviser  
Sustainable Development and Marine  
Yorkshire and Northern Lincolnshire  
Natural England  
Foss House, 1-2 Peasholme Green, York, YO1 7PX  
Tel: 02080 266382



[www.northlincs.gov.uk](http://www.northlincs.gov.uk)